



**ELEVATING THE IMPORTANCE OF
ACADEMIC COMPLIANCE:
A PAIRED APPROACH TO CHANGE**

**2023 SANSational Awards – Compliance Innovation
Kristen Brown & Jennifer Hurwitz
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University of Louisville

OUR CITY & CAMPUS



UofL COMMUNITY



STUDENT BODY



UofL CONTEXT

DECENTRALIZED AND SILOED

LEADERSHIP CHANGES

THE UNIVERSITY OF LOUISVILLE'S SCHOOLS/COLLEGES

College of Arts & Sciences

College of Business

School of Dentistry

College of Education & Human Development

J.B. Speed School of Engineering

Graduate School

Brandeis School of Law

School of Medicine

School of Music

School of Nursing

School of Public Health & Information Sciences

Kent School of Social Work & Family Science

CONFLUENCE OF EVENTS

Opportunity to
reassess most
strategic method
of engagement



Regulatory change
in July 2020



Change related to
pandemic



Change in
personnel

THE ISSUE

Distance education compliance manager title creates confusion

Programs that are only offered on campus do not understand how this compliance work applies to them





REVELATION

Staff will prioritize the issues that matter most to leadership

Building trust with university leaders is critical for elevating the importance of academic compliance

APPROACHES CONSIDERED

- Changing the position title
- Moving the position to a different unit
- Creating a new committee



A PAIRED APPROACH TO CHANGE

Higher Education Act (HEA) Regulations monthly meeting

Purpose of the meeting:
To increase and maintain university leadership awareness of higher education regulations and to support coordination of campus-wide academic compliance efforts

VP for Assessment & University Decision Support/Analytics
VP of Enrollment Management
VP for Graduate Affairs
VP for Online Strategy & Teaching Innovation
VP for Undergraduate Education
AVP for Risk, Audit, & Compliance
Senior Associate University Counsel
Executive Director, Student Financial Aid Office
Director, Online Learning
Program Manager, Academic Decision Support & Operations
Distance Education Compliance Manager

Associate Deans monthly meeting

Purpose of the meeting:
To disseminate important information to the associate deans who represent 12 academic schools and colleges

VP for Assessment and University Decision Support/Analytics
VP for Undergraduate Education
Associate Deans
Other invited speakers from campus units

HOW YOU CAN ADAPT THIS STRATEGY

Process Steps

- Build trust with key stakeholders in leadership positions
- List the key stakeholders you want to reach
- Review existing meetings
- Obtain permission to be added to meetings





Contact information

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